



INVESTMENT  
SECURITY  
GROUP, INC.

## Notice

Investment Security Group, Inc. (ISG) values our clients and is committed to protecting our clients' and potential clients' right to privacy.

This notice is being provided to you in accordance with the Securities and Exchange Commission's rule regarding the privacy of consumer financial information ("Regulation S-P") and section 504 of the Graham-Leach-Bliley Act of 1999. Please take the time to read and understand the privacy policies and procedures that we have implemented to safeguard your personal information.

## Privacy Policy

### Confidentiality and Security

We restrict access to nonpublic personal information about our clients to those employees who need to know that information to provide financial products or services to you. We maintain physical, electronic, and procedural safeguards that are updated on an ongoing basis and are in compliance with federal standards.

### Information We Collect

ISG must collect certain nonpublic personal financial information about its clients to ensure that it offers the highest quality financial services and products. The nonpublic personal financial information which we gather during the normal course of doing business with you, may include:

- Information we receive from you on applications or other forms; and
- Information about your transactions with us, our affiliates, or others.

### Information We Disclose

We do not disclose or sell any nonpublic personal information about our current or former clients **EXCEPT** to provide you with financial products and services or to carry out your verbal or written instructions. There are some exceptions that are permitted by law.

### What is Nonpublic Personal Information?

Nonpublic personal information is *personally identifiable financial information* that we collect about you in the process of providing you with our products and services, which information has not been lawfully made available to the general public.

### Opt Out Notice

In the event your advisor at ISG leaves and joins another investment advisory firm, you hereby authorize ISG and your advisor to disclose your personal confidential information to such unaffiliated investment advisory firm for the purpose of transitioning your accounts if you choose. You have the right to opt-out of this provision by calling Michael Dibala at 303-759-9808 or emailing him at [miked@investsg.com](mailto:miked@investsg.com).

### Questions

**If you have any questions about our privacy policy or procedures for protecting your personal financial information, please do not hesitate to contact Investment Security Group, Inc. at (303)759-9808.**